

PRIVACY POLICY

As a regular part of business, A. J. Brooker Financial Associates collects non-public information about our clients from sources such as account applications, new client intake forms, account statements, legal documents, tax returns, and conversations. This information can come directly from you or other financial professionals you permit to share information with us.

We value your privacy and commit to sharing your non-public information solely with our custodians, TradePMR and Charles Schwab & Company (Schwab), to service client accounts. A. J. Brooker Financial Associates will not disclose any non-public personal information about its current or former clients to anyone except as permitted by law. We will not sell your non-public personal information to anyone under any condition. SMS consent is not shared with third parties or affiliates for marketing purposes. If a client decides to close their accounts with A. J. Brooker Financial Associates or becomes an inactive financial planning client, A. J. Brooker Financial Associates will continue to adhere to this privacy policy and practices with respect to that client as described in this notice.

Confidentiality is one of the tenets of our business, and we consistently update our procedural and electronic safeguards to protect our clients' non-public personal information.

At A. J. Brooker Financial Associates, we know that the financial information we request is — quite frankly — personal. We are committed to respecting your privacy by stewarding your information with confidentiality and integrity.